

Honingham Parish Council Risk Management Policy

About the Council

Honingham Parish Council is a small parish council as defined by the Local Audit and Accountability Act 2014. The Council has varying activities and functions and is currently insured through Zurich Municipal. The Insurance Policy is for a term of 1 year, and is due for renewal on 1 June 2019. A long term agreement is in place until 1 June 2021.

The contact details for the insurers are:

Zurich Town, Parish and Community Council Team
PO Box 726
Chichester
PO19 9PS

The Clerk retains the insurance file and will deal with all matters relating to risk and insurance. This is detailed in the Clerk's Job Description and supported by 'Governance and Accountability 2017'. The Council supports the Clerk in this role by providing training opportunities. The Council agrees the Risk Management Policy which is reviewed every year.

Main Actions in Relation to Risk Management

- ✓ The Asset Register is updated during the course of the year by the Clerk.
- ✓ Risk assessments (Health and Safety) are written and updated by the Clerk where appropriate, or another designated body. Copies of risk assessments are retained.
- ✓ Sites are inspected at least annually and records are retained.
- ✓ Play Areas are inspected bi-weekly and an annual inspection must be carried out by an external qualified inspector. All inspections must be retained for at least 22 years.
- ✓ The Council reviews the Insurance Policy prior to renewal.
- ✓ Financial Risk Assessments are carried out by the Clerk / Responsible Financial Officer, as required.
- ✓ Documentation is kept safely and securely.
- ✓ The Council reviews its systems of Internal Control at least annually.

Risk Assessment Matrix

In order to assess the level of risk the following Risk Assessment Matrix has been utilised. Risk rating = Likelihood x Impact.

Likelihood	Highly Likely (3)	Medium (3)	High (6)	High (9)
	Possible (2)	Low (2)	Medium (4)	High (6)
	Unlikely (1)	Low (1)	Low (2)	Medium (3)
		Negligible (1)	Moderate (2)	Severe (3)
		Impact		

Date agreed: 13th March 2019

Date to be reviewed: March 2020
(1 year from date of agreement)

The Risks identified for the Council:

Aspect Affected Risks and/or Aims	Likelihood Score (1-3)	Impact Score (1-3)	Risk Rating (Low, Medium, High) & Summary of Risks	Action Required to Reduce Risk	Person(s) Responsible for Action
OPERATIONAL					
Staff (Clerk)	2	2	Medium (4) <ul style="list-style-type: none"> Accident at work Long Term Sickness Terminates employment Lifting Heavy Equipment Lone Working Working from Home 	Employer's Liability in place (Insurance Policy) Lone Worker's Policy Adequate budget to cover loss of Clerk Passwords available to Chairman for emergency use	Clerk/Council
Loss of Computer Records	2	3	High (6) <ul style="list-style-type: none"> Loss of historical records Council unable to meet legal/regulatory requirements 	Regular backups of files taken Records Management Policy Key documents available on website Anti-virus software utilised	Clerk/Council
To ensure the safety of members of the public attending meetings and/or events organised by the Parish Council	1	2	Low (2) <ul style="list-style-type: none"> Accident Incident Illness Fire in building General 	Public Liability Insurance (Insurance Policy) Visual Inspection of building and/or furniture/equipment Standing Orders in place First Aider in place for larger events. Mobile phone accessible to contact emergency services. Ensure Fire Exits are clearly marked and accessible. Mobile phone accessible to contact emergency services.	Clerk/Council/ Village Hall Management Committee/Event Organisers

				A separate Risk Assessment is completed for any larger events.	
Playing Field including Play Area Equipment	2	3	High (6) <ul style="list-style-type: none"> • Accident on site (trips/falls/injury) • Vandalism 	Public Liability Insurance (Insurance Policy) Bi-weekly visual inspection which is recorded, any defaults/damage dealt with immediately. Equipment complied with regulations at time of installation. Annual Professional Inspection undertaken (Inspection typically takes place in June)	Clerk/Council
Bowling Green & on-site buildings (site not currently used but grounds are accessible to the public)	2	3	High (6) <ul style="list-style-type: none"> • Accident on site (trips/falls/injury) • Fire in Building • Theft or Damage to building & equipment • Vandalism 	Public Liability Insurance (Insurance Policy) Monthly visual inspection of area and buildings. Monthly visual inspection of area and buildings. Ensure Fire Exits are clearly marked and accessible.	Clerk/Council
Phone Box (owned by Parish Council and converted to Public Information Point)	1	2	Low (2) <ul style="list-style-type: none"> • Accident on site (trips/falls/injury) • Vandalism 	Public Liability Insurance (Insurance Policy) Inspection every 3 months, any damage reported to Clerk to be rectified.	Clerk
Dog Bins	2	2	Medium (4) <ul style="list-style-type: none"> • Injury from damaged dog bin • Handling hazardous waste • Vandalism 	Public Liability Insurance (Insurance Policy) Dog bin checked every 3 months, any damage reported to Clerk	Clerk

				<p>immediately to be rectified.</p> <p>Dog bin must have functioning lid, any damage reported to Clerk immediately to be rectified.</p> <p>Only approved contractors to empty waste from bin (Broadland District Council)</p>	
Other Parish Council owned Assets (Village Sign/Grit Bin/Noticeboards/defibrillator)	1	2	<p>Low (2)</p> <ul style="list-style-type: none"> Accident on site (trips/falls/injury) Vandalism 	<p>Public Liability Insurance (Insurance Policy)</p> <p>Visual inspection of assets carried out every 3 months. Any damage reported to Clerk immediately to be rectified.</p> <p>Defibrillator battery and electrode pads replaced before expiry or if used.</p>	Clerk
Contractors	2	2	<p>Medium (4)</p> <ul style="list-style-type: none"> Public accident 	<p>Public Liability Insurance (Insurance Policy)</p> <p>Contractors own Public Liability Insurance</p>	Clerk
Volunteer Contractors (parishioners/councillors who assist with maintenance of Bowling Green and Playing Field)	2	3	<p>High (6)</p> <ul style="list-style-type: none"> Accident on site (trips/falls/injury) 	<p>Public Liability Insurance (Insurance Policy)</p> <p>Maintenance equipment is serviced annually.</p> <p>Safety equipment provided where required.</p> <p>Training provided where required.</p>	Clerk
SAM2 Speed Signs (operational on a rota, for a max of 4 weeks at a time. Volunteers and Councillors)	2	3	<p>High (6)</p> <ul style="list-style-type: none"> Roadside Accident (trips/falls/injury) Lifting Heavy Equipment 	<p>Public Liability Insurance (Insurance Policy)</p> <p>A minimum of 2 people to assist with installation/removal of SAM2 signs.</p>	Clerk/Council/Volunteers

				High Vis jackets to be worn due to lack of suitable footpath at locations. Inspections to be carried out weekly when battery changed for any damage to sign and reported to Clerk immediately to be rectified.	
Litter Picking (Volunteer Councillors and parishioners)	2	2	Medium (4) <ul style="list-style-type: none"> Roadside Accident Lifting Heavy Bin bags Accident (trips/falls/injury) 	All volunteers to wear High Vis jackets. Litter pick organiser to allocate locations to individuals and sign in/out. Where possible volunteers to have own mobile phones with them to contact emergency services if needed. Provision of suitable equipment to assist with carrying bin bags. Public Liability Insurance (Insurance Policy)	Clerk/Litter Picking Coordinator (nominated volunteer)
Village Hall, car park and drive. (Building is owned by the Parish Council and run by the Village Hall Management Committee who have overall responsibility for all aspects of the building. The Parish Council are responsible for the drive)	2	2	Medium (4) <ul style="list-style-type: none"> Roadside Accident Accident (trips/falls/injury) 	Public Liability Insurance (Insurance Policy) Security lights on outside of building Warning signs installed on drive Visibility Mirror installed on drive	Village Hall Management Committee/Clerk/Council
FINANCIAL					
Cash flow and end of year balance	2	2	Medium (4)	Budget prepared Budget Monitoring document provided to members Reserve funds allocated Fidelity Guarantee in place Internal Controls in place	Clerk/RFO/Council

				Policies reviewed annually	
Handling of cash	2	2	Medium (4) <ul style="list-style-type: none"> • Loss of cash • Risk to those carry large sums of money to bank • Fraud 	Two people designated to count and bank significant amounts of cash Insurance cover for retention of cash Financial Regulations adopted Appropriate storage provided for temporary handling of cash (e.g. locked money tin Fidelity Guarantee in place	Clerk/RFO/ Councillor
Audit challenges	2	2	Medium (4) <ul style="list-style-type: none"> • Audit not completed successfully 	Audit control policies in place and reviewed Experienced and appropriate Auditor appointed	Clerk/RFO/Council
Asset Register	2	2	Medium (4) <ul style="list-style-type: none"> • Incorrectly recorded assets not protected 	Asset register is regularly updated and all assets are checked at least annually and maintained. Insurance Company made aware of any changes.	Clerk/RFO
Insurance	2	2	Medium (4) <ul style="list-style-type: none"> • Council not holding appropriate cover 	Insurance is adequate for the Parish Council's needs and covers all items on Asset register as well as required liability insurance.	Clerk/RFO/Council
Data Protection	2	3	High (6) <ul style="list-style-type: none"> • Data Breach 	DPO appointed Clerk and Councillors trained Data Protection Policy adopted & associated supporting documents adopted	Clerk/RFO/Council

